

*This brochure supplement provides information about James Barrineau that supplements the Fiduciary Capital Management LLC brochure. You should have received a copy of that brochure. Please contact Paul McIntyre, Chief Compliance Officer if you have not received Fiduciary Capital Management LLC's brochure or if you have any questions about the contents of this supplement. Additional information about James Barrineau is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**James Barrineau**

Personal CRD Number: 2557054

***Chief Investment Officer***

**Direct:** 914-588-1978

**Email:** [jbarrineau@fiduciarycm.com](mailto:jbarrineau@fiduciarycm.com)

## **Fiduciary Capital Management LLC**

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*SEC Registered Investment Advisor*

CRD number: **340618**

SEC file number: **801-135523**

1621 Central Ave  
Cheyenne, WY 82001

**WEBSITE:** [www.fiduciarycm.com](http://www.fiduciarycm.com)

## Item 2: Educational Background and Business Experience

**Name:** James Barrineau

**Born:** 1956

### Education and Training:

Masters Degree - Public Finance, SUNY Albany, NY - 1984

Bachelor Degree -Philosophy, Fredonia State College NY - 1978

### Business Background:

03/2026 to Present | Chief Investment Officer, Fiduciary Capital Management, LLC a SEC Registered Investment Advisor, CRD 340618 New York, NY.

01/2007-Present | Chief Investment Officer, Pro Bono, at World Peace Run Foundation. New York, NY

09/2022 - 03/2023 | Kestra Investment Services, LLC. Broker Dealer CRD 42026 Smithtown, NY Consultant

06/2012 – 01/2022 | Schroder Fund Advisors, Inc CRD 24129 New York, NY. Role: Team Lead Global Emerging Market Debt

05/2010-01/2012 | Senior Portfolio Manager, Ice Canyon Hedge Fund, New York, NY,

10/1998 – 08/2010 | Alliance Bernstein Investments Inc, CRD 14549 New York NY. CRD 7049. Role: Debt Strategist

09/1995 – 01/1999 | Salomon Smith Barney. CRD 7059 New York, NY. Role: Emerging Market Debt & Equity Strategist

### Examinations and Licenses:

- FINRA - SIE Securities Industry Essentials Examination 2018
- FINRA – Series 7 -General Securities Representative Examination 1995
- FINRA – Series 65 - Uniform Investment Adviser Law Exam 2026

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### **Item 4: Other Business Activities**

No outside business activities to report than already identified above.

#### **Item 5: Additional Compensation**

James Barrineau does not receive any economic benefit from any person, company, or organization, other than as described above, in exchange for providing clients advisory or compliance related services.

#### **Item 6: Supervision**

The Chief Investment Officer of Fiduciary Capital Management, LLC is Paul McIntyre and he supervises the investment activities at Fiduciary Capital Management LLC.

James Barrineau's contact information is on the cover page and below of this disclosure document. James Barrineau adheres to applicable regulatory requirements, together with all policies and procedures outlined in the firm's code of ethics and compliance manual.

#### **Fiduciary Capital Management LLC**

1621 Central Ave | Cheyenne, WY 82001

Website: [www.FiduciaryCM.com](http://www.FiduciaryCM.com)

CRD number: 340618 | SEC file number: 801-135523

#### **James Barrineau, *Chief Investment Officer***

Personal CRD Number: 2557054

[jbarrineau@fiduciarycm.com](mailto:jbarrineau@fiduciarycm.com)

**Direct:** (914) 588-1978